

PATENT APPLICATION

Sheet 1 of 2

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		ATTY. DOCKET NO. 20010896	APPLICATION NO. 09/970,052	CONFIRMATION NO. NYA
		APPLICANT CZEPLUCH, Ralf		
		FILING DATE Herewith	GROUP NYA	3691
		Image U.S. PRO 09/971944 10/05/01		

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
ML	1A	5,710,887	January 20, 1998	Chelliah, et al.	395	226
	1B					
	1C					
	1D					
	1E					
	1F					
	1G					
	1H					
	1I					
	1J					
	1K					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L							
	1M							
	1N							
	1O							
	1P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

ML	1Q	David G. Messerschmitt, Understanding Networked Applications, 2000, pages 83-86, 451-453 English
ML	1R	Jane Chen et al.: Can Advergaming Be The Future Of Interactive Advertising?, undated, www.kpe.com/ourwork/viewpoints/viewpoints.advergaming_4.shtml, visited 6 August 2001. English
ML	1S	www.klangundkleid.ch/competition/start.asp, visited 27 September 2001. German (English language abstract is enclosed)

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/Marissa I-Jung Liu/

DATE CONSIDERED

12/06/2006

PATENT APPLICATION

Sheet 2 of 2

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		ATTY. DOCKET NO. 20010896	APPLICATION NO. 09/9700,52 NYA	CONFIRMATION NO. NYA
		APPLICANT CZEPLUCH, Ralf		
		FILING DATE Herewith	GROUP	3691

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
2A					
2B					
2C					
2D					
2E					
2F					
2G					
2H					
2I					
2J					
2K					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	2L							
	2M							
	2N							
	2O							
	2P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

ML	2Q	www.sitebranding.com/how_it_works.htm, visited 6 August 2001 English
	2R	
	2S	

EXAMINER <i>/Marissa I-Jung Liu/</i>	DATE CONSIDERED <i>12/06/2006</i>
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PTO-1449 Information Disclosure Citation is an Application		Application N 09/970,052	Applicant(s) Manoel (nmi) Tenorio
		Docket Number 020431.0912	Group Art Unit 3691
		Filing Date 10/03/01	

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U.S. PATENT DOCUMENTS

Patent & Trademark	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
ML	09/970,256	10/03/01	Facilitating Order Entry Patent Application Manoel (nmi) Tenorio, Inventor Attorney Docket # 020431.0926			10/03/01

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

NON-PATENT DOCUMENTS

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
ML	A @The Moment: The Platform for Real-Time Trading; "How Quickly Can You Respond to Your Markets?" pp.1	2001
	B @The Moment Solutions; "Dynamic Trading for a Dynamic World" pp 1	2001
	C Trade@The Moment: The Platform for Real-Time Trading; pp 1-2	2001
	D @The Moment Real-Time Trading Applications; pp 1-2	2001
	E @The Moment Professional Services; pp 1	2001
	F @The Moment Trade @The Moment FAQ; "Frequently Asked Questions (FAQ) About Dynamic Trading" pp 1-9	2001
	G Trade@The Moment Demos; pp 1	2001
	H @The Moment Screen Shots; pp 1-3	2001
	J Market Operator Navigation; pp 1	2001
	K Market Type Selection Page; pp 1-2	2001
	L Market Access Control Selection Page; pp 1-2	2001
	M Market Report; "marketplace@themoment;" pp 1	2001
	N Bid/Ask Pricing Page, pp 1-4	2001
	O Auction Page; pp 1-4	2001
	P Bid/Ask Page/Pitometer; pp 1-4	2001
	Q Bid/Ask Page/Order Book; pp 1-4	2001
	R @TheMoment Technology; pp1-2	2001
ML	S @TheMoment Papers; pp 1	2001

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ML	T	@TheMoment Reliant Energy Customer Story, "Reliant Energy Turns to @TheMoment to Provide First ERCOT Auction for Electricity Generation Capacity;" pp 1-2	
ML	U	@TheMoment White Papers; pp 1	2001
ML	V	@TheMoment White Paper Series; "Leveraging Web-Based Dynamic Trading for Gas Pipeline Capacity Sales;" pp 1-8	7/2001
ML	W	@TheMoment White Paper Series; "Building Competitive Advantage in Turbulent Markets Through Web-Based Dynamic Trading Technologies;" pp 1-12	8/2001
ML	X	@TheMoment White Paper Series; "Reducing Inventory Risks in High-Tech Component Manufacturing with Forward Contracts;" pp 1-12	8/2001
ML	Y	@TheMoment White Paper Series; "The Role off Web-Based Dynamic Trading in Restructured Electric and Gas Markets;" pp 1-14	10/2001
ML	Z	Trade@TheMoment: LDC Data Sheet; "The Complete Trading Solution for LDCs;" pp 1-4	10/2001
ML	AA	Trade@TheMoment:Power Data Sheet; "The Complete Trading Solution for Electric Utilities;" pp 1-2	11/2001

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DATE CONSIDERED

11/22/2006

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. Patent and Trademark Office